

RE- KYC FORM (UPDATION OF KYC INFORMATION) FOR RESIDENT INDIVIDUAL

To,
 The Manager,
ESAF Small Finance Bank Limited

Branch :

Section A – Personal Information:

Customer Name					
CIF			Aadhar Number (Optional)		
Other OVD			OVD Number		
PAN		Date of Birth		Gender	

- This Aadhar number will be updated in bank records
- To get Aadhar number seeded in your ESAF Bank account for getting DBT, submit "Request for Aadhar seeding separately"

Section B - Occupation & Annual Income:

Occupation	<input type="checkbox"/> Salaried	<input type="checkbox"/> Self employed	<input type="checkbox"/> Student	<input type="checkbox"/> Housewife	Others Specify	
Annual Income (Rs)		Name of Organization (if Salaried)		Nature of business (if self employed)		

Section C – Address & Contact Details:

There is no change in my contact details / mailing address

I wish to change my mailing address / contact details in the bank records

Section D – To be filled if there in changes in the mailing address or Contact details.

Please update the following information in your records, I have provided identity/address proof for the same. (For updating new address provide address proof documents)

First Name		Middle Name		Last Name	
Address					
City		Pin Code		State	
Country					
Mobile Number		Email ID			

I do hereby declare, that the information provided above with respect to my account is true, correct and complete.

Place : _____

Date : _____

Signature of Applicant

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ACKNOWLEDGEMENT FOR KYC UPDATION

Customer Name		Date of request Received	
Request No.		Name of Branch official	
Employee No.		Employee Signature	

Important points to be noted:

1. Customer to provide self attested identity & address proof documents and carry originals for verification when visiting the branch.
2. In case of joint account separate forms needed to be filled for each joint holders.
3. The ID & address proof documents need to be within the validity period of the Identity and Address documents against the originals produced by the applicants and they have signed the application in my presence.

List of documents that can be collected for KYC updation

Indicative list of documents for Resident Account to

Sr No	Name of the Officially Valid Document	Identity Proof	Address Proof
1.	Passport	Yes	Yes
2.	Driving License	Yes	Yes
3.	PAN	Yes	No
4.	Aadhar	Yes	Yes
5	Voter ID	Yes	Yes
6.	Job Card by NREGA	Yes	Yes

Consent form for Aadhaar authentication:

1. I have been given other alternative means by ESAF Small Finance Bank for verification of my KYC including physical KYC by submitting officially valid document and I have voluntarily chosen Aadhaar based KYC.
 2. I am aware that my information can be used only for any of the following purpose and not for any other purpose. i. For requirement as per KYC norms, PML Act 2002 and rules thereunder and RBI guidelines; ii. For any other facility related to banking operation services; iii. For carrying out authentication, using e-KYC or Yes/No authentication facility or any other mode or facility as may be permitted from time to time under the applicable law.
- I have been explained about the nature of information that may be shared upon authentication. I have been given to understand that my information submitted to the bank herewith shall not be used for any purpose other than mentioned above, or as per requirement of law.
 - I hereby declare that all the above information voluntarily furnished by me is true, correct and complete.
 - In case of any mismatch in the data available in the different documents submitted by me, I hereby authorise the bank to update my records as per the data available in my Aadhaar.
 - I am aware that communication on my Linking/ Seeding of Aadhaar number will be received on the mobile number registered in Bank records.

Signature / thumb Impression of Applicant

FATCA - CRS Declaration by Individual Customer

Name

CIF ID

Mobile No (with Country Code)		E-Mail ID	
Aadhaar Number		PAN	
Father / Spouse Name		Date of Birth	
Country of Birth		City of Birth	
Country of Tax Residency		TIN/FE No	
Issuing Country of Tax Payer Identification Number (TIN) /Functional Equivalent :			

- ☐ I confirm that I am tax resident of India and not of any other country .
- ☐ I confirm that I am a "US PERSON " / "Reportable Person" and all the required details in this connection, are given in the Customer Information Form.
- ☐ I confirm that I am a Tax Resident of _____ (Name of the Country)
- ☐ I confirm that I am neither a U.S Person nor a resident for Tax purpose in any country other than India, though one or more parameters suggest my relation with the country outside India. Therefore, I am providing the following document as proof of my citizenship and residency in India. (applicable when any of the Indicia parameters is outside India, and TIN or the functional equivalent is not available since not a resident for tax purpose outside India, OR country of birth is US and US Person is reported as No" above)
- ☐ Passport Voter ID ☐ Aadhaar ☐ PAN Card ☐ Driving License
- ☐ NREGA Job Card ☐ Others _____ Document # _____
- ☐ I hereby certify that I have declared my status as per the rules applicable under section 285BA of the Income Tax Act, 1961 as notified by Central Board of Direct Taxes (CBDT) vide notification No.S.O.2155(E)dated 7th August 2015 and RBI Circular No. RBI/2015-16/165. DBR.AML. BC.No.36/14.01.001/2015-16 dated 28th August 2015 in this regard.
- ☐ I understand that the Bank is relying on this information for the purpose of determining the status of the applicant named above in compliance with FATCA/CRS. I shall seek advice from a professional tax advisor for clarification on my tax residency and its implication under FATCA / CRS
- ☐ I understand and acknowledge that as per the provisions of Income Tax Act, Rules made thereunder and guidelines issued by the RBI in the matter, depending upon the residential status and / or other criteria stipulated therein, the Bank may have to report the details in respect of my account(s) as per the prescribed format to the Central Board of Direct Taxes (CBDT) or other Government Agencies to comply with the obligations as per the Inter-Governmental Agreements (IGA) and common Reporting Standards (CRS) and or any other similar arrangements.
- ☐ I certify that the information provided by me above as applicable to me and signed by me as well as in the documentary evidence provided by me is, to the best of my knowledge and belief, true, correct and complete and that I have not withheld any material information that may affect the assessment / categorization of my account as a U.S Reportable Account or other Reportable Account or otherwise. In case any of the above information is found to be false or untrue or misleading or misinterpreting, I am aware that I may be held liable for it.
- ☐ I undertake the responsibility to declare and disclose within 30 days from the date of change, any changes that may take place in the information provided above

Place : _____

Date : _____

Customer Signature

Note-The term United States person means:

- a.** An individual, being a citizen / resident / Green Card Holder of the United States of America;
- b.** Partnership or corporation organized in the United States of America or under the laws of the United States of America or any State thereof;
- c.** A trust if: i. a court within the United States of America would have authority under applicable law to render orders or judgments concerning substantially all issues regarding administration of the trust; and ii. one or more U.S. persons have the authority to control all substantial decisions of the trust;
- d.** An estate of a decedent who was a citizen or resident of the United States of America.

Functional Equivalent of TIN includes the following :A social security/insurance number, citizen/personal identification/services code/national identification number, a resident / population registration number, Alien card number, etc.