

16<sup>th</sup> October, 2020

To,

The Manager,  
Bombay Stock Exchange Ltd ('BSE')  
1<sup>st</sup> Floor, P.J. Towers,  
Dalal Street, Mumbai – 400001

**Subject:** Compliance Certificate - Regulation 7(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for half year ended September 30, 2020.

**Reference:** Details of Non-Convertible Debentures issued by the Company on Private Placement Basis:

Series / Tranche	ISIN	Security Name	BSE Security Code
Series –	INE818W08032	11.50% ESAFSFB Tier II Bonds June 2025	957983
Series –	INE818W08024	11.50% ESAFSFB Tier II Bonds March 2025	957836
Series -	INE818W08016	10.50% ESAFSFB Tier II Bonds December 2024	957360
Series -2	INE879Q08028	Unsecured, Rated, Listed, Redeemable, Subordinated Debt Non – Convertible Debentures Series 2 of face value of Rs.10,00,000/- each fully paid up	953145
Series -3	INE879Q08036	Unsecured, Rated, Listed, Redeemable, Subordinated Debt Non – Convertible Debentures Series 3 of face value of Rs.10,00,000/- each	953174

**ESAF SMALL FINANCE BANK LIMITED**

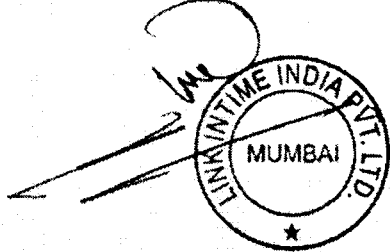
RBI License No: MUM124 CIN: U65990KL2014PLC045669

Registered & Corporate Office: ESAF Small Finance Bank Limited, Building No VII/83/8, ESAF Bhavan, Mannuthy, Thrissur - Palakkad National Highway Thrissur - 680 651, Kerala.

Ph No: 0487 7123456, 123457 Email: customercare@esafbank.com www.esafbank.com

As per the requirement of Regulation 7 (3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby confirm that electronic connectivity facility during the period April 01, 2020 to September 30, 2020 (both days inclusive) was provided by the Company's Registrar and Share Transfer Agent, viz., Link Intime India Private Limited, which is registered as Registrar & Share Transfer Agent in Category - I with the Securities and Exchange Board of India vide Registration Number: INR000004058.

Thanking you.

For ESAF Small Finance Bank Limited	For Link Intime India Private Limited
<p><b>Ranjith Raj P</b> Ranjith Raj P Company Secretary</p> <p>Digitally signed by Ranjith Raj P Date: 2020.10.16 12:57:20 +05'30'</p>	<p></p> <p>Authorised Signatory</p>

**ESAF SMALL FINANCE BANK LIMITED**

SEBI License No: MUM/124/CIN-161990KL20161045669

Registered & Corporate Office: ESAF Small Finance Bank Limited, Building No. VII/83/B, ESAF Bhavan,  
Mannuthy, Thrissur - Patakkad National Highway, Thrissur - 680 651, Kerala  
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